



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Earl D. Brock et al.  
 Serial No.: 10/028,338  
 Filed: December 22, 2001

Docket No.: 16,905  
 Group: 3761  
 Examiner: M. Kidwell

U.S. Patent Documents							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
nk	A1	US 2001/0006666 A1	07/2001	Harbeck			
	A2	3,305,392	02/1967	Britt			
	A3	3,489,148	01/1970	Duncan et al.			
	A4	3,585,998	06/1971	Hayford et al.			
	A5	3,724,465	04/1973	Duchane			
	A6	3,756,238	09/1973	Hanke			
	A7	3,814,101	06/1974	Kozak			
	A8	3,821,350	06/1974	Suchane			
	A9	3,881,488	05/1975	Delanty et al.			
	A10	3,896,807	07/1975	Buchalter			
	A11	3,902,493	09/1975	Baier et al.			
	A12	4,040,857	08/1977	Lissant			
	A13	4,100,324	07/1978	Anderson et al.			
	A14	4,164,563	08/1979	Chang			
	A15	4,273,786	06/1981	Kraskin			
	A16	4,343,783	08/1982	Hooper et al.			
	A17	4,355,020	10/1982	Kuy			
	A18	4,355,046	10/1982	Suess			
	A19	4,556,560	12/1985	Buckingham			
	A20	4,604,313	08/1986	McFarland et al.			
	A21	4,613,447	09/1986	Hara et al.			
	A22	4,623,339	11/1986	Ciraldo et al.			
	A23	4,634,438	01/1987	Sustmann et al.			
	A24	4,634,439	01/1987	Sustmann et al.			
	A25	4,637,820	01/1987	Marini et al.			
	A26	4,655,756	04/1987	Fawkes			
	A27	4,657,537	04/1987	Zimmerer			
	A28	4,675,014	06/1987	Sustmann et al.			
	A29	4,685,909	08/1987	Berg et al.			
	A30	4,711,780	12/1987	Fahim			

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner: Michele Kidwell

Date Considered: 7/10/03



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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
MK	A31	4,732,797	03/1988	Johnson et al.			
	A32	4,738,678	04/1988	Paulis			
	A33	4,753,643	06/1988	Kassai			
	A34	4,753,647	06/1988	Curtis			
	A35	4,760,096	07/1988	Sakai et al.			
	A36	4,772,501	09/1988	Johnson et al.			
	A37	4,790,836	12/1988	Brecher			
	A38	4,790,840	12/1988	Cortina			
	A39	4,798,603	01/1989	Meyer et al.			
	A40	4,808,175	02/1989	Hansen			
	A41	4,834,737	05/1989	Khan			
	A42	4,861,405	08/1989	Kassai			
	A43	4,882,204	11/1989	Tenenbaum			
	A44	4,911,932	03/1990	Clum et al.			
	A45	4,931,052	06/1990	Feldman			
	A46	4,960,592	10/1990	Hagen et al.			
	A47	4,978,534	12/1990	Saitoh			
	A48	4,990,144	02/1991	Blott			
	A49	4,996,238	02/1991	Matravers			
	A50	5,043,155	08/1991	Puchalski et al.			
	A51	5,049,440	09/1991	Bornhoeft, III et al.			
	A52	5,110,593	05/1992	Benford			
	A53	5,139,790	08/1992	Snipes			
	A54	5,141,803	08/1992	Pregozen			
	A55	5,147,576	09/1992	Montague et al.			
	A56	5,176,668	01/1993	Bernardin			
	A57	5,176,672	01/1993	Bruemmer et al.			
	A58	5,192,277	03/1993	Chung et al.			
	A59	5,192,606	03/1993	Proxmire et al.			
	A60	5,194,261	03/1993	Pichierri			
	A61	5,232,691	08/1993	Lemole			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
mk	A62	5,244,668	09/1993	Snipes			
	A63	5,306,486	04/1994	McCook et al.			
	A64	5,336,212	08/1994	De Francesco			
	A65	5,336,692	08/1994	Gans et al.			
	A66	5,350,624	09/1994	Georger et al.			
	A67	5,362,488	11/1994	Sibley et al.			
	A68	5,364,382	11/1994	Latimer et al.			
	A69	5,376,655	12/1994	Imaki et al.			
	A70	5,384,125	01/1995	DiPippo et al.			
	A71	5,409,903	04/1995	Polak et al.			
	A72	5,436,007	07/1995	Hartung et al.			
	A73	5,466,232	11/1995	Cadieux et al.			
	A74	5,482,765	01/1996	Bradley et al.			
	A75	5,486,166	01/1996	Bishop et al.			
	A76	5,490,846	02/1996	Ellis et al.			
	A77	5,496,298	03/1996	Kuepper et al.			
	A78	5,509,915	04/1996	Hanson et al.			
	A79	5,525,346	06/1996	Hartung et al.			
	A80	5,533,990	07/1996	Yeo			
	A81	5,569,230	10/1996	Fisher et al.			
	A82	5,578,310	11/1996	M'Timkulu et al.			
	A83	5,601,871	02/1997	Krzysik et al.			
	A84	5,605,749	02/1997	Pike et al.			
	A85	5,607,760	03/1997	Roe			
	A86	5,607,980	03/1997	McAtee et al.			
	A87	5,609,587	03/1997	Roe			
	A88	5,614,293	03/1997	Krzysik et al.			
	A89	5,618,529	04/1997	Pichierri			
	A90	5,618,850	04/1997	Coury et al.			
	A91	5,631,012	05/1997	Shanni			
mk	A92	5,635,191	06/1997	Roe et al.			

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MK	A93	5,643,588	07/1997	Roe et al.			
	A94	5,643,899	07/1997	Elias et al.			
	A95	5,648,083	07/1997	Blieszner et al.			
	A96	5,650,218	07/1997	Krzysik et al.			
	A97	5,652,049	07/1997	Suzuki			
	A98	5,652,194	07/1997	Dyer et al.			
	A99	5,658,559	08/1997	Smith			
	A100	5,665,368	09/1997	Lentini et al.			
	A101	5,693,037	12/1997	Lee et al.			
	A102	5,695,868	12/1997	McCormack			
	A103	5,738,859	04/1998	Posner			
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	A106	5,843,056	12/1998	Good et al.			
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	A116	5,891,126	04/1999	Osborn, III et al.			
	A117	5,938,649	08/1999	Ducker et al.			
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	A123	6,004,566	12/1999	Friedman et al.			

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mk	A124	6,051,749	04/2000	Schulz			
	A125	6,075,179	06/2000	McCormack et al.			
	A126	6,100,442	08/2000	Samuelsson et al.			
	A127	6,103,245	08/2000	Clark et al.			
	A128	6,107,537	08/2000	Elder et al.			
	A129	6,117,439	09/2000	Kake			
	A130	6,118,041	09/2000	Roe et al.			
	A131	6,120,488	09/2000	VanRijswijck et al.			
	A132	6,136,332	10/2000	Grollier et al.			
	A133	6,149,934	11/2000	Krzysik et al.			
	A134	6,152,906	11/2000	Faulks et al.			
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	A138	US 6,183,766 B1	02/2001	Sine et al.			
	A139	US 6,217,890 B1	04/2001	Paul et al.			
	A140	US 6,238,682 B1	05/2001	Klofta et al.			
	A141	US 6,287,581 B1	09/2001	Krzysik et al.			
	A142	US 6,294,186 B1	09/2001	Beerse et al.			
	A143	US 6,309,736 B1	10/2001	McCormack et al.			
mk	A144	US 6,316,030 B1	11/2001	Kropf et al.			
	A145	US 6,344,218 B1	02/2002	Dodd et al.			

**Foreign Patent Documents**

Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
mk	A1	CA 2,019,557	12/1990	Canada			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A2	DE 35 36 318 A1	04/1987	Germany - Eng. abstr.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A3	DE 41 36 540 A1	05/1992	Germany - Eng. abstr.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A4	EP 0 212 870 B1	04/1992	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A5	EP 0 613 675 A1	09/1994	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
mk	A6	EP 0 797 968 A1	10/1997	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>

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	A8	EP 0 815 841 A1 ✓	01/1998	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A9	EP 0 875 233 A1 ✓	11/1998	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A10	EP 0 922 452 A1 ✓	06/1999	Europe			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A14	GB 884,688 ✓	12/1961	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A15	GB 2 033 751 A ✓	05/1980	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A16	GB 2 311 727 A ✓	10/1997	Great Britain			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A18	WO 92/09289 A1 ✓	06/1992	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A19	WO 93/16670 A1 ✓	09/1993	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A20	WO 93/21878 A1 ✓	11/1993	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A23	WO 95/19190 A1 ✓	07/1995	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A24	WO 96/16681 A1 ✓	06/1996	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A25	WO 96/16682 A1 ✓	06/1996	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A28	WO 97/38735 A1 ✓	10/1997	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A29	WO 97/38738 A1 ✓	10/1997	PCT - World - Eng. abst.			<input type="checkbox"/>	<input checked="" type="checkbox"/>
	A30	WO 98/03147 A1 ✓	01/1998	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A33	WO 99/12530 A1 ✓	03/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A36	WO 99/26618 A1 ✓	06/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>

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MK	A37	WO 99/26619 A1	✓ 06/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A38	WO 99/45771 A1	✓ 09/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A39	WO 99/45973 A1	✓ 09/1999	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A43	WO 00/38747 A2	✓ 07/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A44	WO 00/64501 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A45	WO 00/64503 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A46	WO 00/69481 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A47	WO 00/69482 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A48	WO 00/69483 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A49	WO 00/69484 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A50	WO 00/71177 A1	✓ 11/2000	PCT - World			<input checked="" type="checkbox"/>	<input type="checkbox"/>
Other Documents								
Initials	Author, Title, Date, Pages, etc.							
MK	A1	Patent Abstracts of Japan 02043265 A: Description of Masaru et al. , "THIXOTROPIC SEMISOLID COMPOSITION."						
MK	A2	Patent Abstracts of Japan 02053709 A: Description of Masaru et al. , "THIXOTROPIC SEMI-SOLID COMPOSITION."						
MK	A3	Patent Abstracts of Japan 06065104 A: Description of Michio, "POWDER COMPOSITION FOR DERMATIC APPLICATION PREVENTED FROM SCATTERABILITY."						
MK	A4	Patent Abstracts of Japan 07267839 A: Description of Yoshiko et al. , "OINTMENT COMPOSITION ADHESIVE TO ORAL MUCOSA."						
MK	A5	Patent Abstracts of Japan 09151112 A: Description of Yasuhiro et al. , "MICROEMULSION COMPOSITION."						
MK	A6	Patent Abstracts of Japan 10037070 A: Description of Takeo, "ANTIMICROBIAL FIBER CONTAINING CATECHIN OF GREEN TEA COMPONENT."						

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Other Documents		TECHNOLOGY CENTER R3700
Initials	Author, Title, Date, Pages, etc.	
me	A7 Patent Abstracts of Japan 10306039 A: Description of Christine et al. , "SOLID TOPICAL AQUEOUS COMPOSITION CAPABLE OF FORMING FILM, WHEN APPLIED, AND HAVING GEL APPEARANCE."	
	A8 Patent Abstracts of Japan 55025430 A: Description of Mikio et al. , "THICKENING AND GELLING AGENT."	
	A9 Patent Abstracts of Japan 56110611 A: Description of Mitsue et al. , "PREPARATION OF OINTMENT EMBROCATION FOR SKIN."	
	A10 Patent Abstracts of Japan 59053409 A: Description of Susumu et al. , "BASE COMPOSITION AND PHARMACEUTICAL COMPOSITION FOR EXTERNAL USE."	
	A11 Patent Abstracts of Japan 59122420 A: Description of Katsuo et al. , "LOCAL OINTMENT."	
	A12 Patent Abstracts of Japan 59227816 A: Description of Kenji et al. , "SKIN CLEANING AND WIPING AGENT COMPOSITION."	
	A13 Patent Abstracts of Japan 60006759 A: Description of Tadashi et al. , "WATER-DISPERSIBLE RESIN FOR CATAPLASM."	
	A14 Patent Abstracts of Japan 61129117 A: Description of Sakahito et al. , "ALOE-CONTAINING CATAPLASM."	
	A15 Patent Abstracts of Japan 61194014 A: Description of Hiroshi et al. , "HYDROPHILIC BASE."	
	A16 Patent Abstracts of Japan 63264413 A: Description of Kazuo, "GABEXATE MESYLATE OINTMENT."	
	A17 American Society for Testing Materials (ASTM) Designation: D 1321-92, "Standard Test Method for Needle Penetration of Petroleum Waxes," pp. 483-485, published December 1992.	
	A18 American Society for Testing Materials (ASTM) Designation: D 3236-88, "Standard Test Method for Apparent Viscosity of Hot Melt Adhesives and Coating Materials," pp. 326-331, published December 1988.	
me	A19 Federal Test Method Standard (FTMS) No. 191A, Method 5514, "Water Resistance of Cloth; Low Range, Hydrostatic Pressure Method," July 20, 1978, 3 pages.	

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mk	A20	Federal Test Method Standard (FTMS) No. 191A, Method 5450, "Permeability to Air, Cloth; Calibrated Orifice Method," July 20, 1978, 5 pages.	TECHNOLOGY CENTER, R3700
	A21	Akin, Frank J. et al., "A Refined Method to Evaluate Diapers for Effectiveness in Reducing Skin Hydration Using the Adult Forearm," <u>Skin Research and Technology</u> , 1997, pp. 173-176.	
	A22	Barbero, G.J. et al., "Stool Trypsin and Chymotrypsin," <u>American Journal of Diseases of Children</u> , Vol. 112, July through December, 1966, pp. 536-540.	
	A23	Barry, B.W. and A.J. Grace, "Investigation of Semisolid Lipophilic Preparations by Small Strain and Continuous Shear Viscometry and Their Application to Texture Profile," <u>Journal of Pharmaceutical Sciences</u> , Vol. 60, No. 6, June 1971, pp. 814-820.	
	A24	Berg, Ronald W. et al., "Association of Skin Wetness and pH With Diaper Dermatitis," <u>Pediatric Dermatology</u> , Vol. 11, No. 1, March 1994, pp. 18-20.	
	A25	Boylan, James C., "Rheological Study of Selected Pharmaceutical Semisolids," <u>Journal of Pharmaceutical Sciences</u> , Vol. 55, No. 7, July 1966, pp. 710-715.	
	A26	Braudo, E.E. et al., "The Effect Produced by the Green Tea Components and Tannin on the Fermentative Activity of Trypsin in Vitro," <u>Vopr Pitan</u> , Vol. 27, Issue No. 6, November-December 1968, pp. 40-44. (Russian w/English summary)	
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mk	A31	Eccleston, G.M. et al., "Correlation of Viscoelastic Functions for Pharmaceutical Semisolids: Comparison of Creep and Oscillatory Tests for Oil-in-Water Creams Stabilized by Mixed Emulsifiers," <u>Journal of Pharmaceutical Sciences</u> , Vol. 62, No. 12, December 1973, 1954-1961.	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner: *Michelle Kidwell* Date Considered: 7/10/03

## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Earl D. Brock et al.  
 Serial No.: 10/028,338  
 Filed: December 22, 2001

Docket No.: 16,905  
 Group: 3761  
 Examiner: M. Kidwell

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Other Documents			AUG 20 2002
Initials		Author, Title, Date, Pages, etc.	
mk	A32	Eccleston, G.M., "Structure and Rheology of Cetomacrogol Creams: The Influence of Alcohol Chain Length and Homologue Composition," <u>Journal of Pharmacy and Pharmacology</u> , Vol. 29, No. 3, March 1977, pp. 157-162.	TECHNOLOGY CENTER 13700
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	A34	Fuhrer, C., "Gel Structure of Fatty Alcohols in Ointment Bases," <u>Pharmazie</u> , Vol. 26, No. 1, January 1971, pp. 43-5. (German)	
	A35	Haverback, Bernard J. et al., "Measurement of Trypsin and Chymotrypsin in Stool: A Diagnostic Test for Pancreatic Exocrine Insufficiency," <u>Gastroenterology</u> , Vol. 44, 1963, pp. 588-597.	
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	A42	Pena, Lorraine E. et al., "Structural Rheology of a Model Ointment," <u>Pharmaceutical Research</u> , Vol. 11, No. 6, June 1994, pp. 875-881.	
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Examiner: Michele Kidwell Date Considered: 7/10/03

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 Serial No.: 10/028,338  
 Filed: December 22, 2001

Docket No.: 16,905  
 Group: 3761  
 Examiner: M. Kidwell

Other Documents		
Initials		Author, Title, Date, Pages, etc.
mk	A44	Preston, Sandra L. et al., "Etiology and Treatment of Diaper Dermatitis," <u>Hospital Pharmacy</u> , Vol 29, No. 12, December 1994, pp. 1086-1088, 1097.
	A45	Salo, D.P. et al., "Ion Exchange Properties of Clay Minerals and Its Use for Obtaining Clays With Planned Properties. 3. Effect of the Nature of Exchange Kation on the Structure-Mechanical Properties of Suspensions and Ointment Bases Prepared From Clays of Montmorillonite and Sepiolite-Mountain Leather Groups," <u>Farm Zh</u> , Vol. 23, No. 6, 1968, pp. 61-66. (Ukrainian)
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	A48	Tamburic, S. et al., "An Investigation Into the Use of Thermorheology and Texture Analysis in the Evaluation of W/O Creams Stabilized With a Silicone Emulsifier," <u>Pharmaceutical Development Technology</u> , Vol. 1, No. 3, October 1996, pp. 299-306.
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Applicant(s): Earl D. Brock et al.

Docket No.: 16905

Serial No.: 10/028,338

Group: 3761

Filed: December 22, 2001

Examiner: M. Kidwell

U.S. Patent Documents							
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	A1						
	A2						
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	A4						
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	A7						
	A8						
	A9						
	A10						

Foreign Patent Documents							
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	A3	WO 01/72262 A2	10/2001	World - PCT			<input checked="" type="checkbox"/> <input type="checkbox"/>
mk	A4	WO 02/34305 A2	05/2002	World - PCT			<input checked="" type="checkbox"/> <input type="checkbox"/>
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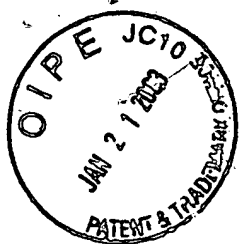
  

Other Documents	
Initials	Author, Title, Date, Pages, etc.
	A1
	A2
	A3
	A4
	A5

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Serial No.: 10/028,338

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U.S. Patent Documents								
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date	
mk	A1	H1732	06/1998	Johnson				
	A2	5,091,193	02/1992	Enjolras et al.				
	A3	6,031,147	02/2000	Gross				
mk	A4	6,093,869	07/2000	Roe et al.				
	A5							
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Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
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	A2	WO 01/64156 A1	09/2001	World - PCT			<input checked="" type="checkbox"/>	<input type="checkbox"/>
mk	A3	WO 02/055119 A2	07/2002	World - PCT			<input checked="" type="checkbox"/>	<input type="checkbox"/>
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	A5						<input type="checkbox"/>	<input type="checkbox"/>
	A6						<input type="checkbox"/>	<input type="checkbox"/>

Other Documents		
Initials		Author, Title, Date, Pages, etc.
mk	A1	"System of double care Pampers," Photocopies of a package of Pampers wipes sold by Procter & Gamble in Europe, 5 pages.
	A2	
	A3	
	A4	
	A5	

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nk	A2	6,433,244 B1	08/2002	Roe et al.			
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	A4						
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	A9						
	A10						

Foreign Patent Documents								
Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
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	A6						<input type="checkbox"/>	<input type="checkbox"/>

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